

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addiese: COMMISSIONER FOR PATENTS P O Box 1450 Alexandra, Virginia 22313-1450 www.wepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/613,522	07/02/2003	Liqun Chen	B-5153 621074-2	4783	
23/79 . 7590 . 121/5/2008 HEWLETT PACKARD COMPANY P O BOX 272400, 3404 E. HARMONY ROAD			EXAM	EXAMINER	
			ABEDIN,	ABEDIN, SHANTO	
	INTELLECTUAL PROPERTY ADMINISTRATION FORT COLLINS, CO 80527-2400		ART UNIT	PAPER NUMBER	
				2436	
			NOTIFICATION DATE	DELIVERY MODE ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

JERRY.SHORMA@HP.COM mkraft@hp.com ipa.mail@hp.com

Application No. Applicant(s) 10/613.522 CHEN ET AL. Office Action Summary Examiner Art Unit SHANTO M. ABEDIN 2436 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 03 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 18 September 2008. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-11.19-24 and 29 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) 8-11 is/are allowed. 6) Claim(s) 1-5.19-24 and 29 is/are rejected. 7) Claim(s) 6-7 is/are objected to. 8) Claim(s) _____ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) ☐ The drawing(s) filed on 02 July 2003 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: Certified copies of the priority documents have been received. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date. Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO/SB/08)

Paper No(s)/Mail Date 09/10/2008

Notice of Informal Patent Application

6) Other:

Application/Control Number: 10/613,522 Page 2

Art Unit: 2436

DETAILED ACTION

This is in response to the communication filed on 09/18/2008.

Claims 1-11, 19-24 and 29 are pending in the application.

Claims 8-11 are allowed.

4. Claims 6-7 are objected.

5. Claims 1-5, 19-24 and 29 have been rejected.

Response to Arguments

- 6. The applicant's arguments regarding previous 35 USC 112 second paragraph type rejections are fully considered. The previous 35 USC 112 second paragraph type rejections of claims 22-24 are withdrawn because of the amendments made to the claims. The previous 35 USC 112 second paragraph type rejections of claims 1-11 and 19-21 are withdrawn based on the applicant's arguments, however, the examiner notes, the amendments made to claims 1-7 and 19-21 raised new grounds for objections to claims (please see the office action below).
- 7. The applicant's arguments regarding the previous 35 USC 101 type rejections are fully considered. The previous 35 USC 101 type rejections of claims 1-11 and 19-21 are withdrawn. However, upon further consideration, invention set forth by claims 22-24 was found to be non-statutory, and the previous 35 USC 101 type rejections of claims 22-24 are maintained (Please see the office action below for detail explanation).
- 8. The applicant's arguments regarding previous 35 USC 103(a) type rejections are fully considered, however, found not persuasive. In particular, upon further consideration, combination of the cited references Gentry et al' 885, Boneh et al and Gentry et al' 554 was found to teach the

Art Unit: 2436

limitations set forth by claims 1-5, 19-21 and 29 (please see the office action below for detail explanations).

Claim Objections

Claims 1-7 and 19-21 are objected to because of the following informalities:

Regarding claim 1-7 and 19-21, they recite the limitations such as "computes first, second and third verification parameters as the product of a second secret, with respectively, said shared secret, the second element and the first element;" or "computing first, second and third verification parameters as the product of the second secret with said shared secret, said second element and said first element respectively". However, it is not clear whether such parameters are representative of three different products, or whether only the first parameter is a product of second secret with said shared secret, and second and third verification parameters are just second and first elements respectively! Therefore, meets and bounds of the claims are unclear! Appropriate correction is required.

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

 Claims 22-24 are rejected under 35 USC 101 because the claimed invention is directed to non-statutory subject matter.

Regarding claims 22-24, they are directed to an apparatus comprising means plus functions.

However, according to the specification (please see Par 0069 and 0117), all of the claimed "means

for" can be optionally implemented in computer program or software alone. Therefore, claimed invention is considered to be non-statutory as being directed to a program per se product. See MPEP 2106.01

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the scheduler matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 10. Claims 1-5, 19-21 and 29 are rejected under 35 USC 103 (a) as being unpatentable over Gentry et al. 554 (US 2003/0182554 A1) in view of Boneh et al (US 2003/0081785A1) further in view of Gentry et al. 885 (US 2003/017985A1).

Regarding claims 1, Gentry et al '554 discloses a method/ computer program product of enabling a third party to verify an association between a first party associated with a first element, of a first algebraic group, and a second party associated with a second element, of a second algebraic group, formed from an identifier string of the second party using a hash function, and there being a computable bilinear map for the first and second elements; wherein a second party computer entity, acting on behalf of the second party:

receives a shared secret (Fig 4: step 414: shared secret g ab, or Fig 5: interactive shared secret abP) provided by the first party as the product of a first secret and the second element (Fig

Art Unit: 2436

4,5; Par 0024, 0030, 0033; receiving interactive shared secret elements/ component from the first entity);

computes first (Fig 4, Fig 5; symmetric key from g ab , or abP), second (Fig 4, Fig 5; second random element b) and third (Fig 4, Fig 5; first intermediate shared secret g $^{a\cdot}$ or aP) verification parameters as the product of a second secret with said shared secret (Fig 4, Fig 5; interactive shared secret g ab , or abP), the second element (Fig 4, Fig 5; second random element b) and the first element (Fig 4, Fig 5; first intermediate shared secret g $^{a\cdot}$ or aP) respectively (Fig 4 and Fig 5; Par 0024, 0030, 0033)

outputs the first, second and third verification parameters (Fig 4 and Fig 5; Par 0024-0025, 0030-0033; outputting interactive shared secret, second and first intermediate shared secret components).

Gentry et al '554 fails to disclose expressly the first, second and third verification parameters for use by the third party in proving the association between the first and second parties.

However, <u>Boneh et al</u> discloses the first, second and third verification parameters for use by the third party in proving the association between the first and second parties (Par 0046, 0053, 0060-0063; PKG conducting authentication/ bilinear mapping based on parameter, master key, and ID).

Furthermore, <u>Gentry et al' 885</u> discloses the first, second and third verification parameters for use by the third party in proving the association between the first and second parties (Par 0049-0053; 0085, 0135-0136).

Gentry et al' 885, Boneh et al and Gentry et al '554 are analogous art because they are from the same field of authentication based on identity and bilinear mapping. At the time of invention, it will be obvious to a person of ordinary skill in the art to combine the teaching of Boneh et al ands

Gentry et al. 885 with Gentry et al. 554 to use the first, second and third verification parameters for use by the third party in proving the association between the first and second parties in order to provide a alternative third party authentication.

Regarding claim 2, it is rejected applying same as above applied rejecting claim 1, furthermore, Boneh et al discloses method a wherein the second party generates a further shared secret from the second secret and an identifier string of a fourth party, the second party passing this further shared secret to the fourth party for use by the latter as the private key of a public/private key pair the public key of which is formed by the identifier string of the fourth party (Par 0046, 0053, 0060-0063; association between multiple parties based on plurality of ID's and private keys).

Regarding claim 3, Gentry et al '554 discloses a method wherein the first and second parties are respectively parent and child trusted authorities in a hierarchy of trusted authorities (Par 0003, 0004; trusted party).

Regarding claim 4, Gentry et al '554 discloses a method wherein the first and second algebraic groups are the same (Par 0019; algebraic groups).

Regarding claim 5, Gentry et al '554 discloses a method wherein the first and second elements are points on the same elliptic curve (Par 0019; elliptic curves)

Regarding claim 19, it recites the limitations of claim 1, therefore, it is rejected applying as above rejecting claim 1, furthermore, Gentry et al '554 discloses apparatus arranged to enable a third party to verify an association between the apparatus and a first party that has a first secret and is

Art Unit: 2436

associated with a first element of a first algebraic group, the apparatus being associated with a second element, of a second algebraic group, and the first and second elements being such that there exists a bilinear mapping p for these elements; the apparatus comprising:

a memory for holding a second secret and an identifier string associated with the apparatus (Par 0010-0011; system memory for storing secret, and identifying string, parameters),

means for forming said second element from said identifier string using a hash function (Par 0010, 0022,0041; processor for computing hash functions),

means for receiving from the first party a shared secret based on said first secret and said first element, and for storing this shared secret in the memory (Par 0010-0011; communicating second entities, or PKG),

means for computing first, second and third verification parameters as the product of the second secret with said shared secret, said second element and said first element respectively (Fig 4; Fig 5; Par 0030-0033, 0041; processor/system/PKG for receiving first random secret, second random secrets and system parameter; outputting interactive shared secret, second and first intermediate shared secret components)

Gentry et al '554 fails to disclose expressly means for making available said identifier string and said verification parameters to the third party.

However, <u>Boneh et al</u> discloses means for making available said identifier string and said verification parameters to the third party (Par [0053]-[0063]; PKG knowing and receiving secrets and components).

Furthermore, Gentry et al' 885 discloses means for computing first, second and third verification parameters as the product of the second secret with said shared secret, said second

Art Unit: 2436

element and said first element respectively (Par 049-053; 068-071, 085, 135-0136; verification parameter, and root key derived using shared secret).

Regarding claims 20-21, they recite the limitations that already addressed in rejecting claims 1-5 and 19, therefore, they are rejected applying as same as applied above rejecting claims 1-5 and 19.

Regarding claim 29, it recites the limitations of claim 1, therefore, it is rejected applying as above rejecting claim 1, furthermore, Gentry et al '554 discloses a method of enabling a second party to prove to a third party the existence of an association between the second party and a first party, the first party being associated with a first element of a first algebraic group, the second party being associated with a second algebraic group, formed from an identifier string of the second party using a hash function, and there being a computable bilinear map for the first and second elements; wherein a second-party computer entity, acting on behalf of the second party:

- (1) receives a shared secret (Fig 4: step 414: shared secret g ab, or Fig 5: interactive shared secret abP) provided by the first party as the product of a first secret and the second element (Fig 4,5; Par 0024, 0030, 0033; receiving interactive shared secret elements/ component from the first entity);
- (2) computes: (i) a first verification parameter as the product of a second secret with said shared secret (Fig 4, Fig 5; Par 0024, 0030, 0033; computing symmetric key from g ^{ab}, or abP), (ii) a second verification parameter as the product of the second secret with the second element (Fig 4, Fig 5; Par 0024, 0030, 0033; second random element b), and (iii) a third verification parameter as the

Art Unit: 2436

product of the second secret with the first element (Fig 4, Fig 5; Par 0024, 0030, 0033; computing first intermediate shared secret g * or aP).

Gentry et al '554 fails to disclose expressly the first, second and third verification parameters for use by the third party in proving the association between the first and second parties.

However, <u>Bonch et al</u> discloses the first, second and third verification parameters for use by the third party in proving the association between the first and second parties (Par 0046, 0053, 0060-0063; PKG conducting authentication/ bilinear mapping based on parameter, master key, and ID).

Furthermore, <u>Gentry et al' 885</u> discloses the first, second and third verification parameters for use by the third party in proving the association between the first and second parties (Par 0049-0053; 068-071, 0085, 0135-0136; verification parameter, and root key derived using shared secret).

Allowable Subject Matter

- 11. Claims 8-11 are allowed.
- 12. Claims 6 and 7 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Application/Control Number: 10/613,522 Page 10

Art Unit: 2436

Conclusion

13. Examiner's note: Examiner has cited particular columns and line numbers in the references as applied to the claims above for the convenience of the applicant. Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may be applied as well. It is respectfully requested from the applicant, in preparing the responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention as well as the context of the passage as taught by the prior art or disclosed by the Examiner. Finally, for any future amendments to claims, the applicant is respectfully requested to incorporate the paragraph numbers from the specification upon which the support for such amendments were obtained.

14. THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for response to this action is set to expire in 3 (Three) months and 0 (Zero) days from the mailing date of this letter. Failure to respond within the period for response will result in ABANDOMENT of the application (see 35 U.S.C 133, M.P.E.P 710.02(b)).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shanto M Z Abedin whose telephone number is 571-272-3551. The examiner can normally be reached on M-F from 8:30 AM to 6:30 PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Moazzami Nasser, can be reached on 571-272-4195. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306. The RightFax number for faxing directly to the examiner is 571-273-3551.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. For more information about the PAIR

Application/Control Number: 10/613,522 Page 11

Art Unit: 2436

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Shanto M Z Abedin

Examiner, AU 2436

/Carl Colin/

Primary Examiner, Art Unit 2436